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## EVIA & LEBA Monthly Compliance Meeting

0830 Wednesday 06<sup>th</sup> September 2022















Virtual Meeting via MS\_Teams - [Click here to join the meeting](#)

Please do suggest ideas around the meeting usefulness, format, guests, regulators and subgroups [Reporting; KYC&AML; Monitoring]

### 1. Standing Items

#### a. Matters arising - Member Comments

#### b. Conduct

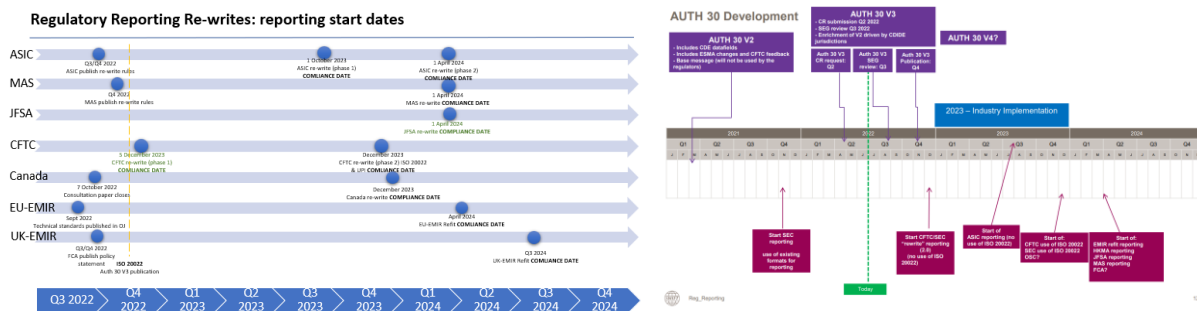
- i. Messaging Apps
  1.  [Asset managers on alert after 'WhatsApp' crackdown on banks; 18Aug2022.pdf](#)
- ii.  [SARs Reporter Booklet\\_1660160883.pdf](#)
- iii.  [FCA releases Policy Statement on improvements to the Appointed Representatives regime; ps22-11;03Aug2022.pdf](#)
- iv.  [FCA releases Policy Statement on strengthening financial promotion rules for high-risk investments and firms approving financial promotions; 06Aug2022.pdf](#)
- v.  [360TGTx; from October, only FXGCoC signatories, or LPs offering firm liquidity, to make prices on the anonymous ECN; 15Aug2022.pdf](#)
- vi.  [FCA launches webpage on diversity, equity and inclusion innovation spotlight; 24 August 2022.pdf](#)
- vii.  [Bloomberg News article on CumEx and "Interdealer Brokers"; 01Aug2022.pdf](#) & [German Cum-Ex Prosecutions Could Reach London Enablers; DB Conduct; 05August2022.pdf](#)
- viii. FCA  [dear-chair-remuneration-committee-2022.pdf](#)
- ix.  [The publication of the FCA's proposed Consumer Duty; 27July2022.pdf](#)
- x.  [An Overview of Forthcoming Digital Legislation; 11Aug2022.pdf](#)
- xi.  [Conduct, Sanctions and Enforcement News; Week 33; 22nd August 2022.pdf](#)
- xii.  [Conduct, Sanctions and Enforcement News; Week 32; 14 Aug 2022.pdf](#)
- xiii.  [Conduct, Sanctions and Enforcement News; Week 31; 08 Aug 2022.pdf](#)
- xiv.  [Conduct, Sanctions and Enforcement News; Weeks 34 & 35; 05 September 2022.pdf](#)

#### c. Rule Books

- i. Anonymity in NGU markets
- ii. [JoFR; SH; MiFID II and the Regulation of Multilateral Trading in OTC Derivatives; Is the OTE Fit for Purpose; 04Sept2022.pdf](#)
- iii. TPR Landing Slots & UK Overseas Regime
  1. **FCA updates webpage on cancelling a temporary permission; *On 1 September 2022, the FCA updated their [webpage](#) on cancelling a temporary permission. The webpage has updated the question 'your firm wants to exit the TPR but has UK business to run-off'.***
    - a. FSMA Schedule 3 firms that previously passported into the UK

- b. Firms in the TPR and SRO that no longer have business which requires them to have UK permission can apply to us to cancel their temporary/limited permission and leave UK regulation.
        - c. However, cancelling a permission will vary depending on the facts that apply to your firm. Before beginning the process, your firm may wish to seek independent legal advice on its need to be authorised in the UK.
        - d. The sections below explain what your firm should do depending on its circumstances.
        - e. [Your firm has closed its UK business \(or never had UK business\) and wants to cancel its temporary permission](#)
        - f. [Your firm wants to cancel its temporary permission but has UK business to run off](#)
        - g. [Your firm is in SRO, has closed its UK business and wants to cancel its limited permission](#)
        - h. [Your firm is in CRO and has closed its UK business](#)
      - 2.  [Poss Comment Letter to incoming FCA Chair; 18July2022.docx](#)
  - iv. UK Financial Services Bill; Second Reading Today then to Committee stage
    - 1.  [The UK Financial Services & Markets Bill for EVIA\\_LEBA Members' Operations in Wholesale Capital Markets; 05Aug2022.pdf](#)
    - 2.  [Designated 'critical third parties' supplying services to UK TVs and FMI's; 06Sep2022.pdf](#)
    - 3.  [UK Finance - FSM Bill - Capital Markets and Wholesale Analysis.pdf](#)
    - 4.  [NRF High Level Comments on the UK FS Bill; 08Aug2022.pdf](#)
  - v. FCA Consultation on RTS1 and Equities
    - 1.  [ECT Meeting Minutes 20th July 2022 - Draft.docx](#)
    - 2.  [Note on FCA; MiFID2+ Consultation on equity market rule changes to improve trade execution and post-trade transparency; cp22-12.pdf](#)
  - vi.  [ESMA launches Call for Evidence on pre-hedging; 28July2022.pdf](#)
  - vii.  [public register for the trading obligation \(05Sep2022\).pdf](#) &  [public register for the clearing obligation under emir \(05Sept2022\).pdf](#)
  - viii. EU MiFIR2 & CTP
  - ix. Mutual Recognition & Equivalence
    - 1.  [CC; evolving-landscapes--creating-a-taxonomy-of-regulatory-divergence; 08Aug2022.pdf](#)
  - x.  [A stern response from the FCA when suggesting back-reporting EMIR matured contracts may not be worthwhile; 07Aug2022.pdf](#)
  - xi.  [SEC Proposes to Expand Requirement of FINRA Membership; 07Aug2022.pdf](#)
- d. Reporting Topics
- i. ACER upcoming Brokers' Workshop Series on REMIT reporting starting next Tuesday.
  - ii. FIRDs & FITRS Data Topics
    - 1. FIRDs Data &  [ECT Meeting Minutes 20th July 2022 - Draft.docx](#)
    - 2.  [ESMA+FCA+RTS1change\\_comparison.xlsx](#)
  - iii. [ESMA organises workshop on post-trade transparency; ESMA will be organising a virtual workshop on post-trade transparency on 11 October 2022, from 9:00 to 17:30, Paris time.](#)
    - 1. This workshop will cover the additional Level 3 guidance on the post-trade transparency information which has to be made public, with particular attention to the reporting fields included in Table 2 of Annex II of [RTS 2](#).

2. Our experts will touch upon common aspects to non-equity instruments including bonds, ETCs and ETNs, SFPs, interest rate, credit, FX, commodity, including emission allowances, and equity derivatives and securitised derivatives.
3. All interested stakeholders, in particular respondents to the regulatory technical standards – [RTS 1 equity](#) and [RTS 2 – non-equity](#) transparency – review are invited to register [here](#) by 30 September 2022.
- iv. [On forthcoming UTI/UPI \[Swift ppt\] - UPI Request from Dealer Banks](#)
- v. The CFTC Changes the SWAP Reporting Rules & Non-SEF UTI Project
- vi. Views on the LME OTC position reporting which went live on Monday 04<sup>th</sup> September



### e. Exchange Blocks

- i. CME LEBA/EVIA Breakfast: 0830, 27<sup>th</sup> September for a breakfast briefing including CME US Regulatory and Compliance leads
- ii. FIA Compliance: block trading - focus on Blocks and bring Qs to ICE - have kicked off a small Working Group, focusing on Blocks, which will highlight areas needing clarity.
- iii. Eurex's requirement on firms to maintain a list of trader's home address if they are allowed to work/trade from home. *Scenario where a trader owns a 2nd UK property and moves between both (a great example of first world problem).*

### f. Energy and Commodities

- i. ACER Outreach and Agenda on Broker Reporting; Noting Trayport reliance cause
- ii. Update on the Commodities Credit Project Rollout

### g. Post Trade














- i. [ECB proposes eliminating mandatory buy-ins from CSDR; 17Aug2022.pdf](#)

### h. Other

- i. [ISDA: The Importance of Reforming the EU Benchmarks Regulation; Policy Advocacy; 11Aug2022.pdf & ESMA Recommendations to FISMA](#)
- ii. [Wall Street revives Russian bond trading after U.S. go-ahead - JPMorgan, BoA](#)
- iii. [IFPR and eligibility for enhanced SM&CR status as a Significant SYSC firm](#)

## Annexes, Links and Details

### 2. General Items

- a.  [A stern response from the FCA when suggesting back-reporting EMIR matured contracts may not be worthwhile; 07Aug2022.pdf](#)
- b.  [Acuiti; Assessing the immediate fallout; 17Aug2022.pdf](#)
- c.  [ClarusFT; Are We In A Summer Lull; Chris Barnes; 03 August, 2022.pdf](#)
- d.  [CPMI and IOSCO publish a discussion paper on CCPs' practices for addressing non-default losses; 04Aug2022.pdf](#)
- e.  [ESMA+FCA+RTS1change\\_comparison.xlsx](#)
- f.  [ETFs and CCP clearing; On the right track ; 18 August 2022.pdf](#)
- g.  [FIX\\_Org; Post trade WG minutes; 04Aug2022.pdf](#)
- h.  [Former Deutsche Bank CEO Anshu Jain dies, aged 59; 12Aug2022.pdf](#)
- i.  [ICMA Global Master Repurchase Agreement \(GMRA\) Primer; Aug2022.pdf](#)
- j.  [Industry groups publish T+1 transition playbook; 07Aug2022.pdf](#)
- k.  [KR; Too little, too late – ESRB attempts to amend EMIR Refit; 07Aug2022.pdf](#)
- l.  [Marex Spectron agrees to acquire ED&F Man Capital Markets; 02 August 2022.pdf](#)
- m.  [Marex Spectron Announces Record H1 2022 Results & Ian Lowitt sees more acquisitions coming; 18 August 2022.pdf](#)
- n.  [Market Regs, Post Trading and Benchmarks News & Events; Week 31; 08 Aug 2022.pdf](#)
- o.  [Market Regs, Post Trading and Benchmarks News & Events; Week 32; 14 Aug 2022.pdf](#)
- p.  [SwapsInfo-First-Half-of-2022-and-the-Second-Quarter-of-2022-Review-Full-Report.pdf](#)
- q.  [tradeweb\\_monthly\\_activity-report\\_july-2022.pdf](#)
- r.  [U.S. banks face trillion-dollar reverse repo headache; 02Aug2022.pdf](#)
- s.  [US Treasury market FICC Market Structure; JPM break-down the key developments and potential impact on market liquidity; 12Aug2022.pdf](#)
- t.  [USD CZK Financial Instruments Reference Data System.pdf](#)
- u.  [UST Cash Interdealer Model & ISDA's "Brattle Report"; 10Aug2022.pdf](#)
- v.  [Wall Street revives Russian bond trading after U.S. go-ahead - JPMorgan, BoA are among banks moving back into Russian bond trading; 16Aug2022.pdf](#)
- w. [AFME has published its Equity Primary Markets and Trading Report for the second quarter of 2022.](#)
- x. ASIC has [extended](#) the transitional relief for foreign financial services providers (FFSPs) from the requirement to hold an Australian financial services (AFS) licence when providing financial services to Australian wholesale clients.
- y. CME Group has unveiled plans to launch European Overnight Index futures based on repofunds rate (RFR) benchmarks and the euro short-term rate in Q4 2022, pending regulatory review
- z. [EVIA Note on the FCA's Consumer Duty; its relevance and whether it will lead to a major shift in financial services; 01Aug2022.pdf](#)
- aa. [Market Structure Beach Reading List; 08Aug2022.pdf](#)
- bb. [NGU Anonymity for Swaps; OTC Platform Advisory - Anonymous Execution Indicator for the CFTC Part 45 Regulations rewrite](#); Please be advised that CFTC regulation § 45 technical specification require the identification of trades executed anonymously for reporting purposes from December 5, 2022. To allow significant time for submission platforms to test the change, CME Clearing (CME) will make the following changes for all OTC trades in New Release on Wednesday, August 10, 2022: Submission Change Platform can send CME an anonymous indicator tag (AnonymsTrdInd) in TrdCaptRpt block in new incoming trades. [/bit.ly/3JKk9pd](#)
- cc. [TP ICAP first half 2022 financial results; 10Aug2022.pdf](#)
- dd. [World's first single-bond ETFs could be revolutionary;](#)

### 3. Sanctions

- a. [PDF sanctions-developments-tracker-client-updates-18-august.pdf](#)
- b. [PDF OFSI webinar on new CASP reporting obligations for to comply with UK sanctions; 15Aug2022.pdf](#)
- c. [PDF Reporting to OFSI - what do I need to do & updated guidance on the general principles for financial sanctions under the Sanctions and Anti-Money Laundering Act 2018; 04Sept2022.pdf](#)
- d. [PDF UK sanctions; the ban on providing professional and business services to persons "connected with Russia"; 04Aug2022.pdf](#)
- e. [PDF FinGen and BIS warn financial institutions to be alert for potential Russian export control and sanctions evaders; CC; 04Aug2022.pdf](#)
- f. See the Monthly Reg Briefing for details

### 4. Latest FCA Policy Development updates

- a. [FCA appoints new Director of International](#); Ruairi O'Connell participated on the Corp Lon event yesterday on The Future of Digital Services Trade and the recognition of overseas regimes.
- b. [PDF Designated 'critical third parties' supplying services to UK TVs and FMI's; 06Sep2022.pdf](#)
- c. FCA updates TPR webpages; On 12 August 2022, the FCA updated the following webpages on the temporary permissions regime (TPR):
- d. FCA updates webpages – cryptoassets; On 11 August 2022, the FCA updated the following webpages:
- e. [PDF FCA updates both TPR & cryptoassets webpages; 12Aug2022.pdf](#)
- f. [PDF Cryptoasset providers in the UK; Impact of the amendments to the MLRs 2017 & FCA updates webpages; 11Aug2022.pdf](#)
- g. [FCA appoints new Director of International](#)
- h. [PDF FCA releases Policy Statement on strengthening financial promotion rules for high-risk investments and firms approving financial promotions; 06Aug2022.pdf](#)
- i. [FCA has announced the launch of a new Diversity, Equity and Inclusion \(DEI\) Spotlight initiative; 01Sept2022 .pdf](#)
- j. [Business Runoffs; FCA updates webpage on cancelling a temporary permission; 04Sept2022.pdf](#)
- k. [FCA CP22\\_1; Quarterly Consultation Paper No37; 04Sept2022.pdf](#)
- l. [UK EMIR; BoE sets out final policy on modifying derivatives clearing obligation to reflect USD LIBOR reform; 20Aug2022.pdf](#)
- m. [PDF BOESWP; Links Between Government Bond & Futures Markets; Dealer-client Relationships & Price Discovery in the UK; July2022.pdf](#)
- n. [PDF Bank Of England's Apocalyptic Prophecies Fall on Deaf Ears; Bloomberg News; 09Aug2022.pdf](#)
- o. [Market Regs, Post Trading and Benchmarks News & Events; Weeks 34 & 35; 05 September 2022.pdf](#)
- p. [BOE Short Term Repo - Market Notice 1 September 2022.pdf](#)
- q. [Asset Purchase Facility; BOE; Gilt Sales – Market Notice 1 September 2022.pdf](#)
- r. [BoE PS 'Derivatives clearing obligation – modifications to reflect USD SOFR; 04 September 2022.pdf](#)
- s. [CP22/12: Improving equity secondary markets](#)
- t. [FG22/4: FCA's approach to compromises for regulated firms](#)
- u. [FS22/4: ESG integration in UK capital markets](#)
- v. [On 11 August 2022, the House of Commons' Treasury Committee published a letter from the Bank of England \(BoE\) regarding the Financial Services and Markets Bill.](#)
- w. [PS22/11: Improving the Appointed Representatives regime](#)

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- x. [PS22/5: New cancellation and variation power: Changes to the Handbook and Enforcement Guide](#)
  - y. [PS22/9: A new Consumer Duty](#)
  - z. [PDF FCA launches webpage on diversity, equity and inclusion innovation spotlight; 24 August 2022.pdf](#)
  - aa. [PDF A stern response from the FCA when suggesting back-reporting EMIR matured contracts may not be worthwhile; 07Aug2022.pdf](#)

## 5. UK Government

- a. [PDF Ann Pettifor; FTOpEd; Financial & markets regulation; Now is not the time to water down financial regulation; 05Sep2022.pdf](#)
- b. [PDF asset-purchase-facility-gilt-sales-provisional-market-notice-4-august-2022.pdf](#)
- c. [PDF Bank of England serves up a shock with its intensely gloomy outlook; raised rates 50 bps; rejected claims from Conservative MPs that the UK's central bank acted too slowly; 08Aug2022.pdf](#)
- d. [PDF City of London regulators to face shake-up under Liz Truss - eyes merger of FCA, PRA and PSR; 17Aug2022.pdf](#)
- e. [PDF FCA publishes Chair of the Remuneration Committee letter; 02August2022.pdf](#)
- f. [PDF Minutes of the Monetary Policy Committee meeting ending on 3 August 2022.pdf](#)
- g. [PDF Short Term Repo Facility - Provisional Market Notice 4 August 2022.pdf](#)
- h. [PDF The UK Financial Services & Markets Bill for EVIA\\_LEBA Members' Operations in Wholesale Capital Markets; 05Aug2022.pdf](#)
- i. [PDF Tightening the UK Financial Promotions Framework; March 2022.pdf](#)
- j. [A&O; Back to the future revocation, restatement and replacement of onshored EU law; 18Aug2022.pdf](#)
- k. [House of Commons' Treasury Sub-Committee on Financial Services Regulations publishes letter to the PRA regarding the 'Strong and Simple Framework'; On 10 August 2022](#)
- l. [Note & tables on the UK Financial Services & Markets Bill legislation](#)
- m. [PDF The UK Financial Services & Markets Bill for EVIA\\_LEBA Members' Operations in Wholesale Capital Markets; 05Aug2022.pdf](#)

## 6. IFPR – IFR – Prudential Supervision (Op Risk and Resilience)

- a. [IFPR and eligibility for enhanced SM&CR status as a Significant SYSC firm](#)
- b. [PDF EVIA Prudential Roundup; Week 32; 14 Aug 2022.pdf](#)
- c. [FSI paper on safeguarding operational resilience; the macroprudential perspective; 26Aug2022.pdf](#)
- d. [MAS publishes information paper on operational risk management specifically in relation to third-party arrangements; 01Sept2022.pdf](#)

## 7. EU Rules and ESAs

- a. [PDF Briefing Note; The new Czech Republic Presidency of the Council of the European Union; 01Aug2022.pdf](#)
- b. [PDF Digitalisation; The ECB has released a paper on cross-country cross-technology digitalisation; 08Aug2022.pdf](#)
- c. [PDF ECB proposes eliminating mandatory buy-ins from CSDR; 17Aug2022.pdf](#)
- d. [PDF ESMA Organigram; August 2022.pdf](#)

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- e. [PDF](#) [European Regulatory & Political News; Week 32; 14 Aug 2022.pdf](#)
  - f. [PDF](#) [European Regulatory & Political News; Week 33; 22nd August 2022.pdf](#)
  - g. [European Regulatory & Political News; Weeks 34 & 35; 05 September 2022.pdf](#)
  - h. [ESMA makes new bond liquidity data available and publishes data for the systematic internaliser calculations](#)
  - i. [ESMA proposes changes to EU regime for third country benchmarks; 20Aug2022.pdf](#)
  - j. [Q&A on MiFID II and MiFIR transparency topics; Question 3 \[Last update: 05/09/2022\] \\*New\\*](#)  
*Are transactions executed between a branch and its head office subject to transparency requirements?*
  - k. [The new ESMA Double Volume Cap \(DVC\) have been published for the 5th of August.](#)
  - l. [PDF](#) [European Regulatory & Political News; Week 31; 08 Aug 2022.pdf](#)
  - m. [PDF](#) [Briefing Note; French Assembly elections trigger legislative uncertainty; 01Aug2022.pdf](#)
  - n. [PDF](#) [EVIA Prudential Roundup; Week 31; 08 Aug 2022.pdf](#)
  - o. [PDF](#) [IOSCO welcomes the strong stakeholder engagement on proposals for a comprehensive global baseline of sustainability disclosures for capital markets; 01Aug2022.pdf](#)
  - p. [DP22/3: Operational resilience: critical third parties to the UK financial sector](#)

## 8. Conduct/ Enforcement/ PFOF

- a. [PDF](#) [A federal jury convicted two former precious metal traders on charges of \(i\) fraud, \(ii\) attempted price manipulation and \(iii\) spoofing .pdf](#)
- b. [PDF](#) [Are mRNA vaccines really a ~miracle cure~ 11Aug2022.pdf](#)
- c. [PDF](#) [Conduct, Sanctions and Enforcement News; Week 32; 14 Aug 2022.pdf](#)
- d. [PDF](#) [Conduct, Sanctions and Enforcement News; Week 33; 22nd August 2022.pdf](#)
- e. [Conduct, Sanctions and Enforcement News; Weeks 34 & 35; 05 September 2022.pdf](#)
- f. [PDF](#) [FCA updates webpage on PS22\\_11; Improving the Appointed Representatives regime; 18Aug2022.pdf](#)
- g. [PDF](#) [The 'Actual Retail Price' of Equity Trades; 'No evidence that PFOF harms price execution; 18Aug2022.pdf](#)
- h. [PDF](#) [Work From Office; Work from home is polarizing; 12Aug2022.pdf](#)
- i. [FATF's Mutual Evaluation Report of the Netherlands 2022 published; 24Aug2022.pdf](#)
- j. [FCA consulting on proposed updates to the OPBAS sourcebook to improve the consistency & effectiveness of AML supervision; 15Aug2022.pdf](#)
- k. [FCA fines Citigroup's international broker-dealer £12.6m for failures relating to the detection of market abuse](#)
- l. [FCA has announced the launch of a new Diversity, Equity and Inclusion \(DEI\) Spotlight initiative; 01Sept2022 .pdf](#)
- m. [NRF Financial crime JMLSG panel discussion; key takeaways; 11Aug2022.pdf](#)
- n. [Two former JPM precious metals traders have been found guilty of spoofing futures markets in an Illinois court](#)
- o. [PDF](#) [Bloomberg News article on CumEx and "Interdealer Brokers"; 01Aug2022.pdf](#)
- p. [PDF](#) [Conduct, Sanctions and Enforcement News; Week 31; 08 Aug 2022.pdf](#)
- q. [PDF](#) [FinCen and BIS warn financial institutions to be alert for potential Russian export control and sanctions evaders; CC; 04Aug2022.pdf](#)
- r. [PDF](#) [German Cum-Ex Prosecutions Could Reach London Enablers; DB Conduct; 05August2022.pdf](#)
- s. [PDF](#) [Bloomberg News article on CumEx and "Interdealer Brokers"; 01Aug2022.pdf](#)
- t. [PDF](#) [APRIL 2021 ICAP Memos Reveal Inner Workings of Now Notorious Cum-ExEra.pdf](#)

- u. [PDF Barclays became the latest bank to take a \\$200mn provision for US regulatory probes into staff misusing their personal devices – via apps such as WhatsApp; 28July2022.pdf](#)
- v. [PDF UK sanctions; the ban on providing professional and business services to persons “connected with Russia”; 04Aug2022.pdf](#)

## 9. AML / KYC/ Reg Tech

- a. JMLSG Topics & Update
- b. [NRF Financial crime JMLSG panel discussion; key takeaways; 11Aug2022.pdf](#)
- c. [PDF Wolfsberg RFI Best Practice Guidance; 23Aug2022.pdf](#) & [PDF Note on Wolfsberg Group best practice guidance on transaction monitoring RFIs; 23Aug2022.pdf](#)
- d. [PDF EBA calls for more proactive engagement between supervisors in AML\\_CFT colleges; 01Sept2022.pdf](#)
- e. [PDF NYDFS flexes enforcement muscle in crypto markets with USD 30 million AML, cybersecurity fine and draft cybersecurity amendments; 01Sept2022.pdf](#)

## 10. ESG Topics, D&I & Disclosures

- a. [FCA looks for external experts to help shape its work on ESG issues](#)
  - b. [PDF Latest State of the Voluntary Carbon Markets report from Ecosystem Marketplace; 09Aug2022.pdf](#)
  - c. [PDF Guide-to-COP27; Three months until COP27 - Cicero\\_amo lookahead briefing; 11Aug2022.pdf](#)
  - d. [PDF 2022-EcoMarketplace-State of the VCM-Briefing\\_Q3.pdf](#)
  - e. [Energy and Commodities Roundup; Weeks 34 & 35; 05 September 2022.pdf](#)
- 
- a. [PDF Bursa Malaysia to Launch Voluntary Carbon Market Exchange by Year-End; Inaugural Transaction via Auction; 15Aug2022.pdf](#)
  - b. [PDF Australian Climate Change Authority Review of International Offsets calls for publication of National Carbon Market Strategy; 15 August 2022.pdf](#)

## 11. Energy & ACER

- a. [PDF Natural Gas Law 108\\_2016 was modified by the Moldovan legislator and a new type of “gas trading license” was introduced in primary legislation; 10Aug2022.pdf](#)
- b. [PDF 220601 Electricity Forward Market Policy Paper.pdf](#)
- c. [PDF ACER Remit Quarterly; Main data quality observations on broker data \(covering orders, trades and lifecycle events\); 02Aug2022.pdf](#)
- d. [ACER Electricity Market Structure Consultation](#)
- e. *French 1-year forward baseload electricity climbs to fresh record high of €535 per MWh (chart below). The 2010-2020 average was €45.3 per MWh. The same German contract also hits a fresh all-time high, at €413 per MWh*
- f. [PDF Cornwall-Insight-GB-Power-Market-Outlook-to-2030\\_2022Q2-Final.pdf](#)
- g. [PDF Energy traders reportedly concerned over algo trading impact; 07August2022.pdf](#)
- h. [PDF BEIS Confirm End-Date For Recognition Of EU GoO Imports; 07Aug2022.pdf](#)
- i. [PDF Trayport Commodities Report - Jul-22.pdf](#)
- j. [PDF OIES Paper on CBAM; 01Aug2022.pdf](#)
- k. [PDF OIES Papers on the Energy Crisis – Summer 2022.pdf](#)
- l. [PDF OIES Energy Comment; Asian Energy Markets Following the Russian Invasion of Ukraine; 08Aug2022.pdf](#)





- m. [Energy and Commodities Roundup; Week 31; 08 Aug 2022.pdf](#)
- n. [Energy and Commodities Roundup; Week 32; 14 Aug 2022.pdf](#)
- o. [Energy and Commodities Roundup; Week 33; 22nd August 2022.pdf](#)
- p. [Energy and Commodities Roundup; Weeks 34 & 35; 05 September 2022.pdf](#)

## 12. Market Regs: MiFID2.2/ MiFIR/ EMIR/ SFTR / CSDR








- a. [JoFR; SH; MiFID II and the Regulation of Multilateral Trading in OTC Derivatives; Is the OTF Fit for Purpose; 04Sept2022.pdf](#)
- b. [public\\_register\\_for\\_the\\_trading\\_obligation \(05Sep2022\).pdf](#)
- c. [public\\_register\\_for\\_the\\_clearing\\_obligation\\_under\\_emir \(05Sept2022\).pdf](#)
- d. [Market Regs, Post Trading and Benchmarks News & Events; Weeks 34 & 35; 05 September 2022.pdf](#)
- e. [Market Regs, Post Trading and Benchmarks News & Events; Week 33; 22nd August 2022.pdf](#)
- f. [UST Cash Interdealer Model & ISDA's "Brattle Report"; 10Aug2022.pdf](#)
- g. [FCA updates both TPR & cryptoassets webpages; 12Aug2022.pdf](#)
- h. [NRF High Level Comments on the UK FS Bill; 08Aug2022.pdf](#)
- i. [BOESWP; Links Between Government Bond & Futures Markets; Dealer-client Relationships & Price Discovery in the UK; July2022.pdf](#)
- j. [AFME has published its Equity Primary Markets and Trading Report for the second quarter of 2022](#)
- k. [GreySpark Keith Lagrange Note on the TV Perimeter Discussion; 21July2022.pdf](#)
- l. [The new ESMA Double Volume Cap \(DVC\) have been published for the 5th of August.](#)
- m. [Commission report on the treatment of CCP equity in the write-down and conversion tool under the Regulation on CCP recovery and resolution & Europe faces tight timetable on clearing regulation;](#)
- n. [SEC Publishes Semi-annual Regulatory Agenda and several other measures; 11Aug2022.pdf](#)
- o. [ECB proposes eliminating mandatory buy-ins from CSDR; 17Aug2022.pdf](#)

## 13. Benchmarks and LiBOR Topics



- a. [ISDA; The-Importance-of-Reforming-the-EU-Benchmarks-Regulation; PolicyAdvocacy; 11Aug2022.pdf](#)
- b. [BMs; Slowly getting to SOFR First; 18Aug2022.pdf](#)
- c. [BoE PS 'Derivatives clearing obligation – modifications to reflect USD SOFR; 04 September 2022.pdf](#)
- d. [CFTC Final Rule Modifying the Swap Clearing Requirement in Support of the Transition from LIBOR and Other IBORs to Alternative RFRs; 15Aug2022.pdf](#)
- e. [ClarusFT; Do You Know What Libor Cessation Has Done to Volumes; Chris Barnes; 16Aug2022.pdf](#)
- f. [ClarusFT; SOFR Futures are Now Bigger than Eurodollars; Chris Barnes; August 10, 2022.pdf](#)
- g. [CP22\\_11 Winding down 'synthetic' sterling LIBOR and US Dollar LIBOR; 02July2022.pdf](#)
- h. [esma81-459-65\\_eur\\_wg\\_rfr\\_-\\_17\\_june\\_meeting\\_minutes.pdf](#)
- i. [ISDA derivatiViews; ~A Constructive Approach to the EU Benchmarks Regulation~; 16Aug2022.pdf](#)
- j. [ISDA-response-BoE-SOFR-clearing-obligation-072222.pdf](#)
- k. [LCH's Consultation on Conversion of Outstanding USD LIBOR SwapClear Contracts; 07Aug2022.pdf](#)

- l.  [LIBOR Legacy Playbook; ARRC; FRBNY; 13July2022.pdf](#)
- m.  [U.S. banks face trillion-dollar reverse repo headache; 02Aug2022.pdf](#)
- n. [BoE PS 'Derivatives clearing obligation – modifications to reflect USD SOFR; 04 September 2022.pdf](#)
- o. [ClarusFT; SOFR Futures are Now Bigger than Eurodollars](#)
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